

04-07-05

EW 1044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Steven K. Yoshinaga

Docket No.: A-579D

Serial No: 09/728,421

Group Art Unit: 1644

Filed: November 28, 2000

Examiner: Phillip Gambel

For: Polypeptides Involved in Immune Response

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. 3.73(b)

Amgen Inc. (hereinafter "Amgen"), a corporation of the State of Delaware having a place of business at One Amgen Center Drive, Thousand Oaks, California, 91320, is the assignee of the entire right, title, and interest in the above-identified U.S. patent application. Documentary evidence of a chain of title from the original owner(s) to Amgen is demonstrated by:

- ☐ the enclosed copy of an Assignment, the original of which is being filed for recordation by the United States Patent and Trademark Office.  
OR  
☒ the assignment recorded in the United States Patent and Trademark Office at Reel 011619, Frame 0289.

The undersigned, whose title is supplied below, is empowered to sign this statement on behalf of Amgen.

REVOCATION OF PREVIOUS POWER OF ATTORNEY AND NEW POWER OF ATTORNEY BY ASSIGNEE

Amgen hereby revokes all previous powers of attorney given in the above-identified application and hereby appoints the registered practitioners at Customer Number **21069** to prosecute this application, to make and to transact all business in the Patent and Trademark Office connected therewith.

REQUEST FOR CHANGE OF CORRESPONDENCE ADDRESS

Please send all future correspondence and direct telephone calls to Customer Number **21069**.

Respectfully submitted,



Date April 4, 2005

By Stuart L. Watt  
Stuart L. Watt  
Title Vice President, Law

Enclosure: Copy of Assignment

**EXPRESS MAIL CERTIFICATE**

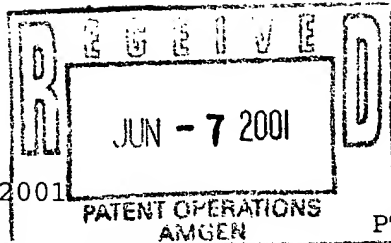
"Express Mail" mail labeling number: EV 351337055 US

Date of Deposit: April 5, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

SL. ST. Andrew  
Printed Name

SL. ST. Andrew  
Signature



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 01, 2001

AMGEN, INC.  
ROBERT B. WINTER  
DEPT. 4300, M/S 27-4-A  
ONE AMGEN CENTER DR.  
THOUSAND OAKS, CA 91320-1799



\*101651849A\*

**COPY**

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/15/2001

REEL/FRAME: 011619/0289  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
YOSHINAGA, STEVEN K.

DOC DATE: 03/13/2001

ASSIGNEE:  
AMGEN, INC.  
ONE AMGEN CENTER DRIVE  
THOUSAND OAKS, CALIFORNIA 91320

A-519D SERIAL NUMBER: 09728421  
PATENT NUMBER:

FILING DATE: 11/28/2000  
ISSUE DATE:

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



REC

03-29-2001



101651849

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.: A-579D

To the Honorable Commissioner of Patent...

... documents or copy thereof.

1. Name of conveying party(ies):  
Steven K. Yoshinaga

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):  
Name: Amgen Inc.

Internal Address: Dept. 4300, M/S 27-4-A

Street Address: One Amgen Center Drive

City: Thousand Oaks State: CA Zip: 91320

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: March 13, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/728,421

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: U.S. Patent Operations/RBW

Internal Address: Dept. 4300, M/S 27-4-A

AMGEN INC.

Street Address: One Amgen Center Drive

City: Thousand Oaks State: CA Zip: 91320-1799

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) .....\$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account.

8. Deposit account number: 01-0519

- ☒ The Commissioner is hereby authorized to charge any additional filing fees which may be required or credit any overpayment to Deposit Account No. 01-0519

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert B. Winter

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

03/29/2001 AAHMED1 00000150 010519 09728421

01 FC:581 40.00 CH

**ASSIGNMENT**

Whereas, I, Steven K. Yoshinaga

---

have made an invention which is the subject of an application for Letters Patent of the United States ("Application") entitled

Novel Polypeptides Involved in Immune Response

---

which is found in:

- ☐ (a) the U.S. Patent Application executed on even date herewith;
- ☐ (b) the U.S. Patent Application executed on \_\_\_\_\_
- ☒ (c) U.S. Application Serial No.: 09/728,421 filed on November 28, 2000
- ☐ (d) U.S. Patent No.: \_\_\_\_\_

Whereas AMGEN INC., a Delaware corporation having its principal place of business at One Amgen Center Drive, Thousand Oaks, California 91320-1799 wishes to acquire the entire interest in all inventions disclosed in such Application:

Now, therefore, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign, transfer and set over unto AMGEN INC., its successors and assigns (collectively "AMGEN") my entire right, title and interest in, to and under the Application, and any provisional application(s) from which Application is derived, and all priority rights to which the Application may be entitled, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for such inventions, or any of them, including divisional, continuation, and/or continuation-in-part applications based on the above-identified Application, all such inventions and all rights in such Application and Letters Patent to be held and enjoyed by AMGEN for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

Further, I hereby sell, assign, transfer, and set over unto AMGEN my entire right, title and interest in or to the right to refer to, to access, and to control microorganism(s) or other biological material(s) related to the Application and which are deposited by me or at my direction or deposited on my behalf by Amgen, its affiliates, employees or employees of its affiliates. The transfer of such right, title and interest includes, without limitation, my unreserved and irrevocable consent and authorization to AMGEN to refer to the deposited microorganisms(s) or other biological materials(s) in the Application and the right to make available to the public the deposited material in accordance with the laws, treaties, statutes, rules, regulations and the like of the United States, all other countries, and any patent granting authority or organization.

I authorize and request the Commissioner of Patents and Trademarks of the United States to issue any such Letters Patent which may be granted on the Application to AMGEN as assignee of the entire right, title and interest therein and thereto.

For myself and for my legal representatives, I covenant and agree with AMGEN that I have not granted to any others any license to make, use or sell any such inventions, that my right, title and interest in such inventions has not been encumbered, that I have good right and title to sell and assign the same, and that I will not execute any instrument in conflict herewith.

For myself and for my heirs, successors and legal representatives, I further covenant and agree with AMGEN that upon request I and they will: (i) execute continuing, divisional, or reissue applications, amended specifications, or rightful declarations or oaths; (ii) communicate to AMGEN any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings or litigation; (iv) execute and deliver any application papers, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for AMGEN, may be necessary or desirable to secure the grant of Letters Patent to AMGEN or its nominees, in the United States and in all other countries where AMGEN may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for AMGEN and to vest and confirm in AMGEN or its nominees the full and complete legal and equitable title to all such Letters Patent, without further consideration than that now paid but at the expense of AMGEN.

In Witness Whereof I have executed this assignment on the 13 day of March 2001

\_\_\_\_\_  
Steven K. Yoshinaga  
(Name of Inventor)

\_\_\_\_\_  
(Signature of Inventor)

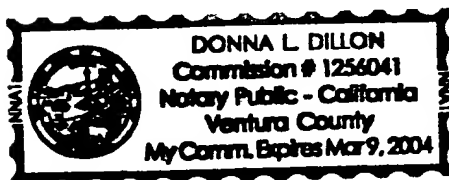
# UNITED STATES OF AMERICA

State of California } ss:  
County of Ventura }

March 13 2001

Before me, a Notary Public for Ventura County, State of California, personally appeared

☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

My commission expires

March 9 2004